

Geometric Evolution Problems in a Closed Pseudohermitian 3-Manifold

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# 1 Geometrization problem of contact 3-manifolds

## 1.1 Geometrization problem of 3-manifolds via Hamilton Ricci flow

- *Decomposition of 3-manifolds*: Assume that  $M$  is closed and orientable.

1. *Cutting along Spheres (The Sphere Decomposition)* : Connected sum decomposition of  $M$  into prime pieces.

- (a) (Kneser, 1929; Milnor, 1962) Every  $M$  has a prime decomposition

$$M \cong M_1 \# \dots \# M_k,$$

and

$$M \cong (K_1 \# \dots \# K_p) \# (L_1 \# \dots \# L_q) \# (\#_1^r S^2 \times S^1).$$

where  $M_i$  are prime and  $K_i, L_i$  are irreducible.  $\pi_1(L_i) < \infty, \pi_1(K_i) = \infty$  and  $K(\pi, 1)$ .

- (b) Moreover

$$L_i = \Sigma_i / G_i$$

is a finite quotient of a homotopy 3-sphere.

$$M \cong (K_1 \# \dots \# K_p) \# (\Sigma_1 / G_1 \# \dots \# \Sigma_q / G_q) \# (\#_1^r S^2 \times S^1).$$

2. *Cutting along the incompressible tori (Torus Decomposition)* :
  - (a) (Jaco-Shalen, 1979; Johannson, 1979)  $M$  is closed, orientable, irreducible

$\exists\{T_i^2\}$ ( if any) : finite disjoint incompressible tori

such that

$$M \setminus \cup T_i^2 = \text{Seifert fiber space or torus-irreducible.}$$

• **Geometrization Conjecture:**

1. Thurston; Topology : Every closed irreducible 3-manifold has either geometric structure or its simple pieces have geometric structure. More precisely, there is a finite collection of disjoint, embedded 2-spheres and incompressible 2-tori such that after cutting  $M^3$  along these surfaces and capping the boundary 2-spheres by 3-balls, the interior of each component of the resulting 3-manifold admits a complete locally homogeneous metric.
2. Structure of Three Dimensional Manifolds

$$M \cong (K_1 \# \dots \# K_p) \# (\Sigma_1 / G_1 \# \dots \# \Sigma_q / G_q) \# (\#_1^r S^2 \times S^1).$$

- (a) The Poincare Conjecture  $(\Sigma_1 / G_1 \# \dots \# \Sigma_q / G_q)$  :: three dimensional space where every closed loop can be shrunk to a point; the space is conjecture to be the three-sphere. *If  $M$  is a homotopic 3-sphere, then it is diffeomorphic to the sphere  $S^3$ . Equivalently, if  $M$  is closed simply-connected, then  $M = S^3$ .*
- (b) The space-form problem .
- (c) Seifert spaces and their quotients.
- (d) Hyperbolic Conjecture  $(K_1 \# \dots \# K_p)$ .

• *The Flow method :*

1. Riemannian geometry aspects: Existence of a " best possible " metric on closed 3-manifolds. Generically, one must allow the optimal metric to have degenerate region. Then the topology decomposition suggests that " The degeneration should be via the pinching off 2-spheres (sphere decomposition) and collapse of graph manifolds along the circles and tori (torus decomposition)

- Hamilton Ricci flow : A solution  $(M^3, g(t))$ ,  $t \in [0, T)$  to the Ricci Flow (RF)

$$\frac{\partial}{\partial t}g = -2Rc.$$

- Hamilton and Perelman : Every closed irreducible 3-manifold has either geometric structure or it splits along disjoint incompressible tori as

$$(S^3/G_1 \# \dots \# S^3/G_q) \# (M_{thick} \cup M_{thin}),$$

where  $M_{thick}$  is a disjoint union of hyperbolic manifolds, and  $M_{thin}$  is a graph manifold, a manifold obtained by gluing along boundary tori of geometric 3-manifolds which are not modeled on  $\mathbf{H}^3$ .

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## 1.2 Geometrization problem of contact 3-manifolds

- Overview :
  - The CR analogue of Thurston's geometrization conjecture on contact 3-manifolds.
  - Classify tight contact structures on all closed irreducible 3-manifolds.
    - Do all hyperbolic manifolds admit a tight contact structure ?
    - Which Seifert fibred spaces admit a tight contact structure ?
    - Do all rational homology spheres admit a tight contact structure ?
  - A tight contact structure  $\leftrightarrow$  geometry of the underlying 3-manifold
- Overtwisted or Tight Contact Struction for a contact 3-manifold :
  - The characteristic foliation  $\Sigma_\xi$  of  $\Sigma$  in  $M$  : For a generic surface  $\Sigma \subset M$ , the intersection  $\xi \cap T\Sigma$  is a line field except at finite many points where  $\xi \cap T\Sigma = \xi = T\Sigma$ . Consider the integral curve for the intersection  $\xi \cap T\Sigma$ , we get a characteristic foliation  $\Sigma_\xi$  of  $\Sigma$  with singularities.
  - An embedded disk  $D^2 \subset M$  is called an overtwisted disk for  $\xi \Leftrightarrow TD^2 = \xi$  along  $\partial D^2 \Leftrightarrow D_\xi$  contains a closed circle leaf.

3.  $\xi$  is overtwisted contact structure if  $M$  contains an overtwisted disk. Otherwise is called tight contact structure.

• Existence

1. Contact Topology on 3-manifolds :

- (a) There is a contact sphere decomposition (1929, 1962).  
 (b) Is there a contact JSJ(Jaco-Shalen-Johannson, 1979) decomposition?

$$M \setminus \cup T_i^2 = \text{Seifert fiber space or torus-irreducible.}$$

- (c) *Eliashberg, Giroux, Honda, Lisca, Gompf; Contact structure* : Existence and classify tight contact structures on all closed irreducible 3-manifolds.

$$\text{tight} \not\supseteq \text{symplectic fillable} \not\supseteq \text{Stein fillable}$$

and

$$\text{tight} \not\supseteq \text{embedded CR structure.}$$

- (d) ( Kamishima and Tsuboi, 1991) : If  $M$  admits a *CR structure with vanishing torsion*, then it is a *Seifert manifold*. Classified a *spherical CR structure with vanishing torsion*.  
 (e) (Chang-Chiu-Wu, 2009) : *spherical CR structure with positive Webster scalar curvature and vanishing torsion*  $\implies \exists$  *constant Tanaka-Webster curvature and vanishing torsion*.  
 (f) (Lisca, 2007)  $M$  : closed oriented Seifert fiber 3-manifold. Then either  $M$  is orientation-preserving diffeomorphism to  $M_n$  for some  $n \geq 1$  or  $M$  carries a positive tight contact structure

- i.  $S_r^3(T_{p,q})$  : oriented 3-manifold obtained by performing rational  $r$ -surgery along torus knot  $T_{p,q} \subset S^3$  . By Kirby calculus :

$$S_{p^2n-pn-1}^3(T_{p,pn+1}) = M\left(-\frac{1}{p}, \frac{n}{pn+1}, \frac{1}{p(n+1)+1}\right)$$

- ii.  $M_n$  :  $p = 2, r = 2n - 1, M_1 = M(-\frac{1}{2}, \frac{1}{3}, \frac{1}{5})$ .

iii. Method : Heegaard Floer theory and contact Ozsváth-Szabó invariant

(g)  $\Omega \subset S^3$

$$M = \Omega/\Gamma$$

$\Rightarrow$  CR spherical

$\Rightarrow$  symplectic fillable (bounds the symplectic orbifold  $CH^2/\Gamma$ )

$\Rightarrow$  tight

- Classification

1. (Eliashberg): Any tight contact structure on  $S^3$  is isotopic to the standard one
2. Any diffeomorphism of  $S^3$  can extend to a diffeomorphism of 4-ball  $D^4$  by holomorphic fillable
3.  $S^3, R^3, S^1 \times S^2$
4. (Giroux) Any tight contact structure on  $T^3$  is contactomorphic to one of
 
$$\xi_n = \ker(\cos(n\theta_1)d\theta_2 + \sin(n\theta_1)d\theta_3)$$
5. (Giroux, Honda):  $S^1 \times D^2, T^2 \times [0, 1], L(p, q)$  and  $T^2$ -bundle over  $S^1$
6. (Honda) :  $S^1$ -bundle over closed surfaces

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## 2 Pseudohermitian 3-manifold

- Let  $M$  be a closed 3-manifold with an oriented contact structure  $\xi$ . There always exists a global contact form  $\theta$ , obtained by patching together local ones with a partition of unity. The characteristic vector field of  $\theta$  is the unique vector field  $T$  such that  $\theta(T) = 1$  and  $\mathcal{L}_T\theta = 0$  or  $d\theta(T, \cdot) = 0$ . A CR-structure compatible with  $\xi$  is a smooth endomorphism  $J : \xi \rightarrow \xi$  such that  $J^2 = -identity$ . A pseudohermitian structure compatible with  $\xi$  is a CR-structure  $J$  compatible with  $\xi$  together with a global contact form  $\theta$ .

- Given a pseudohermitian structure  $(J, \theta)$ , we can choose a complex vector field  $Z_1$ , an eigenvector of  $J$  with eigenvalue  $i$ , and a complex 1-form  $\theta^1$  such that  $\{\theta, \theta^1, \theta^{\bar{1}}\}$  is dual to  $\{T, Z_1, Z_{\bar{1}}\}$ . It follows that  $d\theta = ih_{1\bar{1}}\theta^1 \wedge \theta^{\bar{1}}$  for some nonzero real function  $h_{1\bar{1}}$ . If  $h_{1\bar{1}}$  is positive, we call such a pseudohermitian structure  $(J, \theta)$  positive, and we can choose a  $Z_1$  (hence  $\theta^1$ ) such that  $h_{1\bar{1}} = 1$ . That is to say

$$d\theta = i\theta^1 \wedge \theta^{\bar{1}}.$$

- We'll always assume our pseudohermitian structure  $(J, \theta)$  is positive and  $h_{1\bar{1}} = 1$  throughout the paper. The pseudohermitian connection of  $(J, \theta)$  is the connection  $\nabla^{\psi.h.}$  on  $TM \otimes C$  (and extended to tensors) given by

$$\nabla^{\psi.h.} Z_1 = \omega_1^1 \otimes Z_1, \nabla^{\psi.h.} Z_{\bar{1}} = \omega_{\bar{1}}^{\bar{1}} \otimes Z_{\bar{1}}, \nabla^{\psi.h.} T = 0$$

in which the 1-form  $\omega_1^1$  is uniquely determined by the following equation with a normalization condition:

$$\begin{aligned} d\theta^1 &= \theta^1 \wedge \omega_1^1 + A_{\bar{1}}^1 \theta \wedge \theta^{\bar{1}} \\ \omega_1^1 + \omega_{\bar{1}}^{\bar{1}} &= 0. \end{aligned} \tag{1}$$

The coefficient  $A_{\bar{1}}^1$  is called the (pseudohermitian) torsion. Since  $h_{1\bar{1}} = 1$ ,  $A_{\bar{1}\bar{1}} = h_{1\bar{1}} A_{\bar{1}}^1 = A_{\bar{1}}^1$ . And  $A_{11}$  is just the complex conjugate of  $A_{\bar{1}\bar{1}}$ . Differentiating  $\omega_1^1$  gives

$$d\omega_1^1 = W\theta^1 \wedge \theta^{\bar{1}} + 2i\text{Im}(A_{11, \bar{1}} \theta^1 \wedge \theta)$$

where  $W$  is the Tanaka-Webster curvature.

- We can define the covariant differentiations with respect to the pseudohermitian connection. For instance,  $f_{,1} = Z_1 f$ ,  $f_{,1\bar{1}} = Z_{\bar{1}} Z_1 f - \omega_1^1(Z_{\bar{1}}) Z_1 f$  for a (smooth) function  $f$ . We define the subgradient operator  $\nabla_b$  and the sublaplacian operator  $\Delta_b$  by

$$\begin{aligned}\nabla_b f &= f_{,i\bar{1}}Z_1 + f_{,1\bar{i}}Z_{\bar{1}}, \\ \Delta_b f &= f_{,1\bar{1}} + f_{,\bar{1}1},\end{aligned}$$

respectively. Moreover we first define the Levi metric  $h$  on  $\ker \theta$  by

$$h(X, Y) = d\theta(X, JY).$$

### 3 CR Geometric Evolution Equations

- References :

1. . (with J.-H. Cheng) The Harnack Estimate for the Yamabe Flow on  $CR$  Manifolds of Dimension 3, *Annals of Global Analysis and Geometry* Vol. 21, No. 2 (2002), 111-121.
2. ( with H.-L. Chiu and C.-T. Wu ) The Li-Yau-Hamilton inequality for Yamabe flow on a closed  $CR$  3-manifold, *Transactions of AMS*, Vol 362 (2010), 1681–1698.
3. ( with C.-Q. Hu and C.-T. Wu) Li-Yau-Hamilton Inequality for Yamabe Flow on  $CR$  3-Manifolds with Tanaka-Webster Curvature of Change Sign, submitted, 2009.
4. ( with J.-H. Cheng and C.-T. Wu ) The Cartan Flow in a Closed Pseudohermitian 3-Manifold with Vanishing Torsion, in preparation.
5. ( with J.-H. Cheng and C.-T. Wu) The Entropy Formulas and its Monotonicity Properties under Coupled Torsion Flow in a Closed Pseudohermitian 3-Manifold, in preparation.

#### 3.1 The Cartan Flow

- Existence of a spherical  $CR$  structure :

1. *Definition* : We call a  $CR$  structure  $J$  spherical if Cartan curvature tensor  $Q_{11}$  vanishes identically. Here

$$Q_{11} = \frac{1}{6}W_{11} + \frac{i}{2}WA_{11} - A_{11,0} - \frac{2i}{3}A_{11,\bar{1}1}.$$

Note that  $(M, J, \theta)$  is called a spherical pseudohermitian 3-manifold if  $J$  is a spherical structure. We observe that the spherical structure is CR invariant.

2. A closed spherical pseudohermitian 3-manifold  $(M, J, \theta)$  is locally CR equivalent to the standard pseudohermitian 3-sphere  $(S^3, \widehat{J}, \widehat{\theta})$ .
3. *The Cartan flow* :

$$\frac{\partial J}{\partial t} = 2Q_J. \quad (2)$$

- (a)
  - i. *Problem* : Existence of spherical CR structure if  $A_{1\bar{1}} = 0$ ?
  - ii. *Problem* : All hyperbolic manifolds admit a spherical CR structure?
- (a) Chang-Cheng-Wu : The long-time existence and asymptotic convergence problem.
- (b) *Conjecture* : A closed spherical CR 3-manifold with positive Tanaka-Webster curvature is CR equivalent to

$$(S^3/G_1 \# \dots \# S^3/G_q) \# (\#_1^r S^2 \times S^1).$$

- (c) In case of a closed Riemannian 3-manifold with positive scalar curvature. As a consequence of Perelman's result on Ricci flow,  $M$  is isomorphic to

$$(S^3/G_1 \# \dots \# S^3/G_q) \# (\#_1^r S^2 \times S^1).$$

## 3.2 The CR Yamabe Flow

- Classification of a closed spherical CR 3-manifold with positive Tanaka-Webster curvature :

1. Given a contact 3-manifold  $(M, J, \theta)$ , we define the Webster metric  $g_\lambda = d\theta + \lambda^{-2}\theta^2$ .  $W$  : the Tanaka-Webster curvature.  $R$  : scalar curvature of  $g_\lambda$  :

$$R^\lambda = 4W - 2\lambda^2 |A_{\bar{1}\bar{1}}|^2 - 2\lambda^{-2}$$

and if the pseudohermitian torsion  $A_{1\bar{1}}$  is vanishing, then

$$(R_{ij}^\lambda) = \begin{pmatrix} 2W - 2\lambda^{-2} & 0 & 0 \\ 0 & 2W - 2\lambda^{-2} & 0 \\ 0 & 0 & 2\lambda^{-2} \end{pmatrix}.$$



2. *The CR Yamabe Flow :*

- (a) i.  $A_{1\bar{1}} = 0$ , then  $W > 0 \implies R_{ij}^\lambda > 0$  for some  $\lambda$ . Then in case of normalize Ricc flow (NRF) if  $g(0)$  has positive Ricci curvature, then the NRF has a solution for a long time and the solution converges to a constant curvature metric. In particular,  $M$  has geometric structure. That is

$$M = (S^3/G_1 \# \dots \# S^3/G_q).$$

- ii. (Chang-Chiu-Wu, 2009) : *spherical CRstructure with positive Webster scalar curvature and vanishing torsion*  $\implies \exists$  *constant Tanaka-Webster curvature and vanishing torsion.* ( $W = C > 0$  and  $|A_{1\bar{1}}|^2 = 0$ . It is spherical).

$$\partial_t \theta_{(t)} = -2(W - r)\theta_{(t)}.$$

- iii. Conjecture : A closed spherical CR 3-manifold with positive Tanaka-Webster curvature is CR equivalent to

$$(S^3/G_1 \# \dots \# S^3/G_q) \# (\#_1^r S^2 \times S^1)?$$

- iv. *Problem* : the formation of singularity in a closed spherical CR 3-manifold with positive Tanaka-Webster curvature :  
*Problem* : Spherical and  $W > 0$  : The CR Yamabe flow (Yes : Riemannian Yamabe flow, Ye).  
v. Harnack-type estimates for the CR Yamabe flow in a closed spherical CR 3-manifold *with positive Webster scalar curvature and vanishing torsion* : Chang-Cheng (2002), Chang-Chiu-Wu (2009).  
vi. Hamilton-Perelman program :

### 3.3 The Torsion Flow

- 1. (a) The CR Einstein-Hilbert Action

$$\frac{d}{dt} \int_M W_{J,\theta} \theta \wedge d\theta = -2 \int_M |A_{1\bar{1}}|^2 \theta \wedge d\theta - 2 \int_M (W - \widehat{W})^2 \theta \wedge d\theta$$

The negative gradient flow :

$$\begin{cases} \partial_t J_{(t)} = -2J A_{J,\theta} \\ \partial_t \theta_{(t)} = -2(W - \widehat{W})\theta_{(t)}. \end{cases}$$

(b) *The torsion flow :*

- i. If  $W > |A_{\bar{1}\bar{1}}|^2 \geq 0$ , then  $R^\lambda$  is positive for some  $\lambda$ . As a consequence of Perelman's result

$$M = (S^3/G_1 \# \dots \# S^3/G_q) \# (\#_1^r S^2 \times S^1).$$

*Problem :*  $(M, J, \theta)$  with positive Tanaka-Webster curvature and

$$W > |A_{\bar{1}\bar{1}}|^2 \geq 0.$$

Is the torsion flow

$$\partial_t J_{(t)} = 2J_{A, \theta},$$

*converges to a CR structure with vanishing torsion?*

- ii. The coupled torsion flow : *The CR analogue of the coupled Ricci flow*

$$\begin{cases} \partial_t J_{(t)} = 2E ; \partial_t \theta_{(t)} = -2\mu(t)\theta_{(t)} \\ \partial_t \varphi_{(t)} = -2\Delta_b \varphi + |\nabla_b \varphi|^2 - W \end{cases} .$$

(c) *The torsion flow for the geometrization problem of contact 3-manifold :*

- i. We proposed to deform any fixed CR structure under *the torsion flow* on a three dimensional space which shall break up the space eventually. It should lead to the contact topological decomposition according to (???). The asymptotic state (singularity formation) of *the torsion flow* is expected to be broken up into pieces which will either collapse or produce metrics which satisfy the *spherical CR structure with vanishing torsion*. However, the deformation will encounter singularities. The major question is to find a way to describe *all possible singularities*.